



Contact

Stephen L. Ratner

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Stephen L. Ratner is the former co-head of our Financial Institutions Group. His practice focuses on the representation of banks and other financial services institutions in complex litigations, investigations and enforcement proceedings, arbitrations and mediations, compliance issues, and regulatory controversies involving securities, commodities, and derivative products.

Matters Steve handles include the defense of class actions and other actions involving, for example, high frequency and algorithmic trading, short selling practices, IPO allocations, and data security and privacy issues. He also handles internal investigations and investigations and enforcement proceedings by the SEC, CFTC, Department of Justice, FINRA and other regulators regarding issues such as high frequency and algorithmic trading, market access, securities lending, trade reporting, short selling, electronic communications, and supervision. Steve also represents banks and other financial institutions in fraudulent transfer litigation and other litigation based upon failed LBOs and alleged Ponzi schemes.

Steve has served as a member of Proskauer's Executive Committee, an adjunct professor of law at Benjamin N. Cardozo School of Law, and a mediator appointed by the U.S. District Court for the Southern District of New York. Steve is the author of the section entitled "Broker-Dealer Litigation and Arbitration" in the multi-volume treatise, *Commercial Litigation in New York State Courts*, and is a frequent speaker

on matters related to the financial services industry.

Practices

Broker-Dealer, Litigation, Securities Litigation

Industries

Asset Management, Financial Services

Education

Columbia Law School, J.D.

Harlan Fiske Stone Scholar

Columbia University, B.A.

Admissions & Qualifications

New York

Court Admissions

U.S. Court of Appeals, Second Circuit

U.S. Court of Appeals, Third Circuit

U.S. Court of Appeals, Eighth Circuit

U.S. District Court, New York, Eastern District

U.S. District Court, New York, Southern District

U.S. Court of Appeals, Fourth Circuit

U.S. Court of Appeals, First Circuit

Memberships

Federal Bar Council (Board of Editors of Federal Bar Council News)

New York State Bar Association

Securities Industry and Financial Markets Association

Futures Industry Association

New York City Bar (Past service on Committee on Judicial and Professional Ethics)

Awards & Recognition

Chambers USA: New York–Litigation: Securities Litigation (Recognized Practitioner)

2015

Benchmark Litigation National Litigation Star 2014-2022

Best Lawyers in America 2014-2023

The Legal 500 United States: Litigation: Securities: Shareholder Litigation 2015-2017

New York Super Lawyers