

Contact

Lloyd B. Chinn

Partner

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Lloyd B. Chinn is a partner in the Labor & Employment Law Department and co-head of the Whistleblowing & Retaliation and Financial Services Practice Groups.

A seasoned trial lawyer, Lloyd represents employers nationwide and internationally in high-stakes employment disputes before federal and state courts, leading arbitration forums (including FINRA, JAMS and AAA) and administrative agencies. He has first-chaired more than 40 trials and arbitrations to verdict or award.

Lloyd's practice focuses on complex compensation disputes, whistleblower and retaliation claims, discrimination and harassment matters, and restrictive covenant and trade secret litigation. With a significant concentration in the financial services sector, he also represents employers across a wide range of industries, including law, insurance, health care, consulting, media, music, education and technology. In connection with that focus, Lloyd hosts a roundtable for in-house employment lawyers in the financial services industry to discuss emerging workplace trends, regulatory developments and litigation risks. His client base is global in scope, with many organizations headquartered outside the United States.

Drawing on his extensive litigation experience, clients regularly turn to Lloyd for advice on the full range of employment matters, including terminations, whistleblower policies and procedures, restrictive covenants, reductions in force, employment agreements, and workplace policies. He is also frequently retained to conduct

internal investigations into allegations of workplace misconduct, including claims involving senior executives.

Lloyd is nationally recognized for his work in the whistleblower space, representing global businesses in matters arising under Sarbanes-Oxley and Dodd-Frank. He serves as Co-Chair of the Whistleblower Subcommittee of the ABA's Employee Rights and Responsibilities Committee and is a frequent speaker before leading organizations, including the American Bar Association, the Securities Industry and Financial Markets Association, the International Bar Association, ALI-ABA, the Association of the Bar of the City of New York and New York University School of Law. He has twice testified before Congressional subcommittees on whistleblower legislation and regularly publishes blog posts, articles and client alerts on related developments, including the Dodd-Frank Act's whistleblower provisions.

He is also active in the International Bar Association, where he presents on emerging workplace issues, including global whistleblower enforcement trends, cross-border investigations, executive accountability, workplace culture and risk management, and employment considerations in international transactions.

Lloyd is a co-editor of Proskauer's Whistleblower Defense Blog and is widely quoted by major media outlets, including the *New York Times*, the *Wall Street Journal*, the *National Law Journal* and *Law360*. Beyond whistleblower issues, he also publishes and speaks on a broad array of employment law topics, including non-solicitation provisions, FINRA arbitration rules, cross-border discovery, e-discovery, and the use of experts.

Matters

Representative Recent Litigations

- Successfully represented Credit Suisse Securities in a high-stakes FINRA employment arbitration brought by a former senior equities analyst who claimed that he had been constructively discharged in the midst of the March 2023 Credit Suisse/UBS merger. Following a nine day arbitration, our team secured a complete victory by defeating claims exceeding $1.4 million, enforcing the firm's contractual clawback rights, and obtaining an

arbitration award of over $800,000 in compensatory damages, attorneys' fees, costs, and interest in Credit Suisse's favor;

- Successfully represented StoneX Group Inc. in a high-stakes trade secrets dispute against a former executive involving allegations of trade secret misappropriation, breach of fiduciary duty, and computer fraud. Our team secured terminating sanctions under Rule 37(e), including default judgment, dismissal of the defendant's counterclaims, and an award of attorneys' fees, after demonstrating an extensive campaign of intentional spoliation of electronically stored information;
- Successfully represented Macquarie America Holdings, Inc. in a high-stakes employment arbitration arising from the termination of a senior commodities trader. Our team secured a complete victory by defeating claims exceeding $14.6 million, enforcing the company's contractual clawback provisions, and obtaining a final arbitration award of more than $1 million in damages, attorneys' fees, and costs in Macquarie's favor;
- Victory on behalf of global financial services firm in one of the first “me too” sexual harassment matters brought in the industry. After defeating the plaintiff’s motion for a preliminary injunction, successfully compelled the case to arbitration, after which, all of plaintiff’s claims were dismissed. In addition, obtained a six figure award of breach of contract damages and sanctions. The entire arbitration award was confirmed in federal court.
- Multiple outright victories on behalf of a global investment bank in contentious arbitrations in which former senior trading and sales employees terminated in the wake of regulatory investigations sought the tens of millions of dollars in forfeited deferred compensation;
- A major victory on behalf of a furniture manufacturer company against a former executive in an 11-day bench trial under the Stored Communications Act and the Computer Fraud Abuse Act, and also defeating all of his whistleblowing and contract claims. The Eleventh Circuit affirmed the District Court’s ruling in favor of our client in all respects. The District Court ultimately entered judgments in our client’s favor amounting to over $1 million in compensatory and punitive damages, sanctions and attorney’s fees;
- The dismissal of a former global financial services employee’s gender discrimination claims;
- The dismissal of a former investment banker who sought over $20 million in bonus compensation, severance benefits and equity compensation in the company;
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On behalf of a global financial services company, the dismissal of a former banker's claims for more than $7,000,000 in bonus compensation, based on various quasi-contractual theories;

- Numerous compensation disputes arising from the closing of a global bank's internal hedge fund, including multiple favorable arbitral awards and court decisions (Federal and State, trial and appellate);
- A dispute between an investment bank and a former senior banker arising out of the former banker's solicitation of employees, resulting in an extremely favorable negotiated resolution following a 12-day arbitration and two successful ancillary state court actions;
- The dismissal of a former floor specialist's whistleblower and compensation claims, following a 30-day arbitration;
- The dismissal of a former partner's claims and the recovery of attorney's fees, on behalf of a prominent boutique law firm, following a one-week arbitration;
- A sex discrimination case in which the claimant (a former sell-side analyst at a global bank) sought millions in damages – post-deposition, she withdrew her claims and issued letters of apology to those she had accused; and
- The dismissal of all claims (except for one month of notice pay) after a two-week arbitration of a former private banker's age discrimination, retaliation and breach of contract claims.

Practices

Employment Litigation, Whistleblowing & Retaliation, Discrimination, Harassment & Title VII, International Labor & Employment, Restrictive Covenants, Trade Secrets & Unfair Competition, Hedge Funds, Class & Collective Actions

Industries

Financial Services, Private Capital

Market Solutions

Environmental, Social and Corporate Governance (ESG)

Education

New York University School of Law, J.D.

cum laude

Developments Editor, *New York University Review of Law & Social Change*, 1991-1992

Staff Editor, *New York University Review of Law & Social Change*, 1990-1991

Georgetown University Walsh School of Foreign Service, B.S.

cum laude

Admissions & Qualifications

Texas

New York

Court Admissions

U.S. Court of Appeals, Tenth Circuit

U.S. Court of Appeals, Second Circuit

U.S. Court of Appeals, Fifth Circuit

U.S. District Court, New York, Eastern District

U.S. District Court, Texas, Northern District

U.S. District Court, New York, Southern District

U.S. District Court, Texas, Southern District

U.S. District Court, New York, Western District

U.S. District Court, Texas, Western District

Memberships

American Bar Association (Labor and Employment Law and Litigation Sections, Employment Rights and Responsibilities Committee)

International Bar Association, Employment and Industrial Relations Law Committee – Membership Officer

Federal Bar Council

New York City Bar

The New York Urban League (Board of Directors)

The Regulatory Compliance Association (Senior Fellow)

Awards & Recognition

Fellow, College of Labor & Employment Lawyers

New York Super Lawyers: Employment Litigation: Defense 2007-2022

The Legal 500 United States: Labor & Employment: Disputes: Defense 2018-2021

The International Who's Who of Management Labour & Employment Lawyers 2010-2020

Global Elite Thought Leader, Who’s Who Legal 2020

Benchmark Litigation Labor & Employment Stars 2019-2022, 2024

Best Lawyers in America 2023-2027