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Ann Ashton is a partner in the Litigation Department, head of the Firm's Securities Litigation Group and head of the Litigation practice in Washington, D.C. Ann has a wide range of experience in complex litigation matters and parallel proceedings, including securities class actions and individual litigation, shareholder derivative litigation, criminal and civil enforcement proceedings before various federal and state entities, corporate internal investigations, ERISA class action litigation and market conduct class actions and individual litigation. Prior to joining Proskauer, she was a partner and co-head of the Securities, M&A and Corporate Governance Litigation Practice Group at Dewey & LeBoeuf.

Ann is part of a team that practices in the intersection where class actions, shareholder derivative suits, SEC enforcement matters and white collar prosecutions meet.

Ann is the co-author of the articles "Rotating Mobiles and Fuzzy Boundaries: Managing Parallel Proceedings," *Wolters Kluwer Securities Regulation Daily* (December 11, 2018), "Transaction Ethics – When Zealous Lurches to Perilous," *Wolters Kluwer Securities Regulation Daily* (March 2018), "SEC Reform: The Doughnut and the Hole," *Securities Regulation Daily* (March 28, 2017), "Besieging the Board: Activist Cram Sheet," *Wolters Kluwer Law & Business* (February 2016), "Clawback Versus Backstab," *Wolters Kluwer Law & Business* (November 2015),

“Book of Solomon Cram Sheet: Ethics, Values, Rules and Saving the Baby – SEC 102(e) and Model Rule 1.7,” *Wolters Kluwer Law & Business* (October 2015), “Sour Grapes From Delphi: Judge Rakoff Is At It Again in SEC v. Payton,” *Wolters Kluwer Securities Regulation Daily* (April 24, 2015), “Loose Lips Sink Ships – Maybe: Insider Trading Cram Sheet,” *Wolters Kluwer Law & Business* (February 2015), “Anatomy of an SEC Enforcement Action + Collateral Consequences: Cram Sheet,” *Wolters Kluwer Law & Business* (October 2014), “Crisis Management – Event Horizons and Black Holes: Cram Sheet,” *Wolters Kluwer Law & Business* (September 2014), “Parallel Proceedings: Cram Sheet,” *Wolters Kluwer Law & Business* (August 26, 2013), “Defending Directors: Cram Sheet,” *Wolters Kluwer Law & Business* (October 23, 2012), “Delaware Chancery Court issues decision on collateral estoppel in derivative suits,” *Westlaw Journal Delaware Corporate* (June 25, 2012, Vol. 26, Issue 25), “Pills and Peptics: Airgas and Its Impact On Governance in the Boardroom (It’s Not All About Takeovers),” *Securities Regulation & Law, BNA, Inc.* (March 28, 2011), and “Internal Corporate Investigations and the SEC’s Message to Directors in Cooper,” *University of Cincinnati Law Review* (1996).

Matters

SEC Enforcement and Class Action Experience

- Leucadia National Corporation
- Royal Dutch/Shell
- Global Crossing
- Waste Management
- Zurich Insurance Group
- Dollar General Corporation
- New York Life (class action only)
- John Hancock (class action only)
- American General (class action only)

- Transamerica (class action only)
- Pacific Mutual (class action only)
- Manulife Financial (class action only)
- Vestas Wind Systems A/S (class action only)
- North Carolina Mutual
- Knights of Columbus Fraternal
- Unity Mutual

Shareholder Derivative Litigation

- Hewlett-Packard
- Royal Dutch/Shell
- Brocade Communications Systems, Inc.
- Halliburton Company
- Waste Management, Inc.

Commodity Futures Trading Commission Proceedings

- Royal Dutch/Shell

State Attorneys General Proceedings

- Zurich Insurance Group
- American General
- New York Life Insurance Company

Department of Justice Proceedings

- Royal Dutch/Shell
- Global Crossing
- Property and casualty insurers
- S&L-related investigations

Practices

Litigation, Securities Litigation, White Collar Defense & Investigations, Antitrust

Industries

Financial Institutions

Market Solutions

Corporate Governance

Education

George Washington University Law School, J.D., 1980

With Honors

Order of the Coif

Law Review, Managing Editor

Catholic University of America, B.A., 1976

magna cum laude

Admissions & Qualifications

District of Columbia

Court Admissions

U.S. Court of Appeals, Second Circuit

U.S. Supreme Court

U.S. Court of Appeals, Fourth Circuit

U.S. Court of Appeals, District of Columbia Circuit

U.S. Tax Court

U.S. Court of Appeals, First Circuit

Memberships

Bar Association of the District of Columbia

Litigation Counsel of America (Fellow)

Awards & Recognition

The Legal 500 United States: Securities: Litigation Defense 2015-2017, 2019

Washington, D.C. Super Lawyers 2016-2019

GIR 100 2019