

SEC and DOJ Charge Board Member with Trading on Inside Information . . . During a Board Meeting

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On Friday, the SEC filed a complaint against James C. Cope, a former member of the Executive Committee of Pinnacle Financial Partners' ("PFP") board of directors, alleging that he engaged in insider trading. The same day, Cope pleaded guilty to related insider trading charges brought by the U.S. Attorney's office for the Middle District of Tennessee. The government alleges that Cope personally traded on information about a pending acquisition that he learned during board meetings, in breach of his duties to the company... Continue Reading

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