

SEC Charges Private Equity Firm and Four Executives with Failing to Disclose Conflicts of Interest

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On November 3, 2015, the Securities and Exchange Commission (SEC) announced that it had reached a settlement with Fenway Partners, LLC, a New York-based private equity firm, and several of the firm's executives (the Respondents) in connection with a failure to disclose conflicts of interests to investors with respect to payments made by portfolio companies of a private equity fund to certain affiliates and former employees of the firm. In settlement of the matter, the Respondents agreed to collectively disgorge approximately \$8.7 million, and pay an approximately \$1.5 million civil monetary fine...

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