

S.D.N.Y Dismisses Former Employee's SOX and Dodd-Frank Whistleblower Claims

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The U.S. District Court for the Southern District of New York recently granted a motion for summary judgment dismissing a plaintiff's SOX and Dodd-Frank whistleblower claims.

The court ruled that the plaintiff failed to establish retaliation because: (1) almost all of the plaintiff's alleged protected activity did not allege shareholder fraud and therefore failed; and (2) the plaintiff did not offer any evidence establishing that a single protected complaint she made concerning the defendant's SEC proxy statements contributed to her termination. *Yang v. Navigators Group, Inc.*, Case No. No. 13-cv-2073 (S.D.N.Y. Jan. 4, 2016)... [Continue Reading](#)