

SDNY Follows Asadi: Internal Tipsters Not Dodd-Frank “Whistleblowers”

Whistleblower Defense Blog on **December 24, 2014**

On December 5, 2014, the Southern District of New York in *Berman v. Neo@Ogilvy*, No. 14-cv-523, ruled that an employee who complains internally about securities law violations does not qualify as a “whistleblower” under the Dodd-Frank whistleblower protection provision because that statute only protects individuals who report to the SEC. The court followed the Fifth Circuit and diverged from prior decisions from the same district... [Continue Reading](#)

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