

# S.D.N.Y. Takes Broad Approach To SOX and Dodd-Frank Whistleblower Claims

**Whistleblower Defense Blog** on **May 27, 2014**

The U.S. District Court for the Southern District of New York recently denied a motion to dismiss a plaintiff's SOX and Dodd-Frank whistleblower claims, ruling that (i) the plaintiff engaged in SOX protected activity even though her purported protected activity was part and parcel of her job duties as Chief Risk Officer, and (ii) she qualified as a Dodd-Frank whistleblower even though she did not lodge a complaint with the SEC. *Yang v.*

*Navigators Group, Inc.*, Case No. No. 13-cv-2073, 2014 LEXIS 63876 (S.D.N.Y. May 8, 2014)... [Continue Reading](#)

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